Document Checklist

Victrix Investment Advisors is registered fiduciary advisor that put your best interests first. We are compensated by a level fee, not commissions. Consequently, our advice is product-neutral and conflict-free. Moreover, we have the experience, credentials, and resources to provide what you need. You will like our personal service as well.

	Client 1	Client 2	Both
Liquid Assets			
Employer Retirement Plans			
401(k), 403(b), 401(a)	☐	☐	☐
Profit Sharing	☐	☐	☐
Deferred Compensation	☐	☐	☐
Stock Options	☐	☐	☐
Brokerage Account Statements			
Joint			☐
Single Name	☐	☐	☐
Traditional IRA	☐	☐	☐
SEP IRA	☐	☐	☐
SIMPLE IRA	☐	☐	☐
Roth IRA	☐	☐	☐
Rollover IRA	☐	☐	☐
Inherited IRA	☐	☐	☐
Revocable Trust	☐	☐	☐
Charitable Trust	☐	☐	☐
Savings Account Statements			
Joint or Single Name	☐	☐	☐
Certificates of Deposit	☐	☐	☐
Annuity(ies)	☐	☐	☐
Health Savings Account (HSA)	☐	☐	☐

Illiquid Assets			
Real Estate (please note estimated value and titling)	☐	☐	☐
Private Business Interest	☐	☐	☐
Intra-family & Other Private Loan	☐	☐	☐
Collectibles, including value	☐	☐	☐

Insurance			
Life	☐	☐	☐
Disability	☐	☐	☐
Umbrella	☐	☐	☐
Professional Liability Coverage	☐	☐	☐

Retirement Income Sources			
Social Security Statement	☐	☐	☐
Pension	☐	☐	☐
Other	☐	☐	☐

	Client 1	Client 2	Both
Estate Documents			
Will	☐	☐	☐
Trust	☐	☐	☐
Durable Power of Attorney	☐	☐	☐
Medical Power of Attorney	☐	☐	☐
Living Will	☐	☐	☐

Debt			
Mortgage(s)	☐	☐	☐
Home Equity Line of Credit	☐	☐	☐
Auto	☐	☐	☐
Student Loan	☐	☐	☐
Business	☐	☐	☐
Other	☐	☐	☐

Other			
Tax Return	☐	☐	☐
Expected Inheritance	☐	☐	☐
Employer Benefits	☐	☐	☐
College Savings Account	☐	☐	☐
Stock Held in Certificate Form	☐	☐	☐

Beneficiaries			
Legal Name	☐	☐	☐
Date of Birth	☐	☐	☐
Social Security #	☐	☐	☐
Address	☐	☐	☐

Notes